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Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/882,570	
	Filing Date	09/21/2001	
	First Named Inventor	Meng-Shin Yen	
	Group Art Unit	2651	
	Examiner Name	Psitos, Aristotelis M	
Total Number of Pages in This Submission	24	Attorney Docket Number	APIP0101USA

ENCLOSURES <i>(check all that apply)</i>		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input type="checkbox"/> Other Enclosure(s) (please identify below):
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	WINSTON HSU
Signature	<i>Winston Hsu</i>
Date	3/1/2002

CERTIFICATE OF MAILING		
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: _____		
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Signature	_____	Date _____

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 0.00

Complete If Known

Application Number 09/682,570
Filing Date 09/21/2001
First Named Inventor Meng-Shin Yen
Examiner Name Psitos, Aristotelis M
Group Art Unit 2651
Attorney Docket No. APIP0101USA

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 50-0801
Deposit Account Name North America International Patent Office

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$) 0.00

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
103 18	203 9	Claims in excess of 20	
102 84	202 42	Independent claims in excess of 3	
104 280	204 140	Multiple dependent claim, if not paid	
109 84	209 42	** Reissue independent claims over original patent	
110 18	210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$) 0.00

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,640*	113 1,640*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,980	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 480	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (three number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0.00

SUBMITTED BY

Name (Print/Type) WINSTON HSU
Signature *Winston Hsu*
Registration No. (Attorney/Agent) 41,526
Complete (if applicable)
Telephone 886-2-8923-7350
Date 3/1/2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the
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Melissa Tseng (曾美雅)
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Melissa Tseng (曾美雅)
Typed or printed name of person signing Certificate

Note: Each paper must have its own certificate of transmission, or this certificate must identify each submitted paper.

APPLICATION NUMBER : 09/682,570

PAPERS INCLUDED :

(1) Transmittal Form	1 PAGE
(2) Fee Transmittal	1 PAGE
(3) Request for Drawing Changes	1 PAGE
(4) Drawing	5 PAGES
(5) Response to the Office Action	16 PAGES

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**NORTH AMERICA
INTERNATIONAL PATENT OFFICE**

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FAX TO : Aristotelis M Psitos , ART UNIT : 2651 ,

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FROM : WINSTON HSU , PATENT AGENT , REG. NO. : 41,526

SUBJECT : SERIAL NO. : 09/682,570

RESPONSE TO THE OFFICE ACTION

TOTAL PAGES :26 PAGES (INCLUDING COVER PAGE)

The hardcopy of this response will be sent to USPTO by Federal
Express right away.

WINSTON HSU 2002/03/01

Received from <886289237390> at 3/1/02 2:17:18 AM [Eastern Standard Time]

3-1-02

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PATENT

#4m
4-22-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Meng-Shin Yen, William Wai Wang, Tso-Tsai Chen,
5 and Chi-Hung Chen

Examiner: Psitos, Aristotelis M.

Filing Date: 09/21/2001

Art Unit: 2651

Serial No.: 09/682,570

Docket No.: APIP0101USA

10 Title: CD-RW DRIVE WITH MULTI-STAGE LINEAR VELOCITIES AND
DIFFERENT RECORDING SPEEDS AND RECORDING POWERS FOR
EACH STAGE

To: Official Draftsperson
15 Washington, D.C. 20231

Subject: Response to PTO-948 dated 12/03/2001

Dear Sir/Madam:
20

In response to drawing informalities, please accept five (5)
new pages of drawings, having figures 1 to 8 thereon. Please be
advised that the term "Prior art" has been added to Fig.1 in
response to an objection held by the Examiner.

25

Respectfully submitted,

Winston Hsu Date: 3/1/2002
30 Winston Hsu, Patent Agent No.41,526
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